

## CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS

*The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).*

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 103.175(f)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 103.11(o)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 103.11(c)).\*

A **Correspondent Account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

*Special instruction for foreign branches of U.S. banks:* A branch or office of a U.S. bank outside the United States is a foreign bank. Such a branch or office is not required to complete this Certification with respect to Correspondent Accounts with U.S. branches and offices of the same U.S. bank.

*Special instruction for covering multiple branches on a single Certification:* A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a *Correspondent Account* with a Covered Financial Institution. Use attachment sheets as necessary.

- A. The undersigned financial institution, **Standard Chartered Bank**, including its branches and subsidiaries as listed on the attachment hereto. ("**Foreign Bank**") hereby certifies as follows:

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\*A "foreign bank" does not include any foreign central bank or monetary authority that functions as a central bank, or any international financial institution or regional development bank formed by treaty or international agreement.

**B. Correspondent Accounts Covered by this Certification:** Check **one** box.

- This Certification applies to all accounts established for Foreign Bank by Covered Financial Institutions.
- This Certification applies to Correspondent Accounts established by \_\_\_\_\_ (name of Covered Financial Institution(s)) for Foreign Bank.

**C. Physical Presence/Regulated Affiliated Status:** Check **one** box and complete the blanks.

- Foreign Bank maintains a **physical presence** in any country. That means:
- Foreign Bank has a place of business at the following street address: **1 Basinghall Avenue, London, EC2V5DD**, where Foreign Bank employs one or more individuals on a full-time basis and maintains operating records related to its banking activities.
  - The above address is in **England**, where Foreign Bank is authorized to conduct banking activities.
  - Foreign Bank is subject to inspection by **The Financial Services Authority**, the banking authority that licensed Foreign Bank to conduct banking activities.
- Foreign Bank does not have a physical presence in any country, but Foreign Bank is a **regulated affiliate**. That means:
- Foreign Bank is an affiliate of a depository institution, credit union, or a foreign bank that maintains a physical presence at the following street address: \_\_\_\_\_, where it employs one or more persons on a full-time basis and maintains operating records related to its banking activities.
  - The above address is in \_\_\_\_\_ (insert country), where the depository institution, credit union, or foreign bank is authorized to conduct banking activities.
  - Foreign bank is subject to supervision by \_\_\_\_\_, (insert Banking Authority), and the same banking authority that regulates the depository institution, credit union, or foreign bank.
- Foreign Bank does **not** have a physical presence in a country and is **not** a regulated affiliate.

**D. Indirect Use of Correspondent Accounts:** Check box to certify.

- No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Foreign Bank hereby certifies that it does not **use** any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

**E. Ownership Information:** Check box 1 or 2 below, **if applicable.**

1. **Form FR Y-7 is on file.** Foreign Bank has filed with the Federal Reserve Board a current Form FR Y-7 and has disclosed its ownership information on Item 4 of Form FR Y-7.
2. **Foreign Bank's shares are publicly traded.** Publicly traded means that the shares are traded on an exchange or an organized over-the-counter market that is regulated by a foreign securities authority as defined in section 3(a)(50) of the Securities Exchange Act of 1934 (15 U.S.C. 78c(a)(50)).

If **neither** box 1 or 2 of Part E is checked, complete item 3 below, **if applicable.**

3. Foreign Bank has no **owner(s)** except as set forth below. For purposes of this Certification, **owner** means any person who, directly or indirectly, (a) owns, controls, or has the power to vote 25 percent or more of any class of voting securities or other voting interests of Foreign Bank; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of Foreign Bank. For purposes of this Certification, (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family\* shall be considered one **person**.

Name	Address
<b>Standard Chartered PLC</b>	1 Basinghall Avenue London EC2V 5DD, U.K.
<b>Standard Chartered Bank is wholly owned by Standard Chartered Holdings Limited that is wholly owned by Standard Chartered PLC. The latter is a public company quoted on the London and Hong Kong Stock Exchanges and is not owned or controlled by any large direct owner or two or more small direct owners.</b>	

**F. Process Agent:** Complete the following:

The following individual or entity: **Standard Chartered Bank** is a resident of the United States at the following street address: **1095 Avenue of the Americas, New York, NY 10036**, and is authorized to accept service of legal process on behalf of Foreign Bank from the Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

\*The same family means parents, spouses, children, siblings, uncles, aunts, grandparents, grandchildren, first cousins, stepchildren, stepsiblings, parents-in-law and spouses of any of the foregoing. In determining the ownership interests of the same family, any voting interest of any family member shall be taken into account.

**G. General**

Foreign Bank hereby agrees to notify in writing each Covered Financial Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Foreign Bank understands that each Covered Financial Institution at which it maintains a correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. Foreign Bank further understands that the statements contained in this Certification may be transmitted to one or more Departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, Edward J. Kowalcyk, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of Foreign Bank.

**STANDARD CHARTERED BANK**

By: 

Name: Edward J. Kowalcyk, Esq.

Title: Managing Director

Regional Head of Compliance - Americas

Address:

Standard Chartered Bank  
1095 Avenue of the Americas  
New York, NY 10036  
Tel: (212) 667-0598  
Facsimile: (646) 455-6595

Executed on this 3rd of April, 2013

**Received and reviewed by:**

Name: \_\_\_\_\_

Title: \_\_\_\_\_

For: \_\_\_\_\_

Date: \_\_\_\_\_

## BRANCH CERTIFICATIONS

This Patriot Act Certification dated September 13, 2012 covers the following Standard Chartered Bank Branches and Subsidiaries:

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	House Number 10, Street 10B Wazir Akbar Khan Kabul, Afghanistan Tel: (+93 79) 9007 777 – (+93 79) 93339 487
Country	Afghanistan
Banking Authority with Jurisdiction	Afghanistan Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	Level 1, 345 George Street, Sydney, NSW 2000 Tel: 61 29 232 9333
Country	Australia
Banking Authority with Jurisdiction	Australian Prudential Regulation Authority
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	Kaertnerstr. 21-23 A-1010 Vienna Austria Tel: +43 1 515 67 0
Country	Austria
Banking Authority with Jurisdiction	Austrian Central Bank – Ministry of Finance
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank Nassau Branch
Street Address (Not a Postal Box)	PO Box N-7120 Nassau, New Providence Island, Bahamas Tel: 242-322-3101
Country	Bahamas
Banking Authority with Jurisdiction	Central Bank of The Bahamas
Check one box only (see instructions above.)	
<input type="checkbox"/> Physical Presence	<input checked="" type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	Government Road, Building No. 180, 180 Government Avenue, Manama Tel: 315 973 17 223636
Country	Bahrain
Banking Authority with Jurisdiction	Bahrain Monetary Agency
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	67 Gulshan Avenue, Gulshan, Dhaka 1212 Tel: 880 2 8833003-4
Country	Bangladesh
Banking Authority with Jurisdiction	Bangladesh Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Botswana Limited *
Street Address (Not a Postal Box)	Standard House, 5 <sup>th</sup> Floor, Queens Road, The Mall, Gaborone Tel: 267 360 1500
Country	Botswana
Banking Authority with Jurisdiction	Central Bank of Botswana
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (Brasil) S.A. Banco de Investimento **
Street Address (Not a Postal Box)	Av. Brigadeiro Faria Lima, 3600 – 7th Floor Itaim Bibi – São Paulo, SP Brazil – 04538-132 Phone: +55 11 2789 6800 Fax: +55 11 2789 6894
Country	Brazil
Banking Authority with Jurisdiction	Central Bank of Brazil
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	Bandar Seri Begawan (Main Branch), 51-55 Jalan Sultan, Bander Seri Begawan BS 8811, Negara Brunei Darussalam Tel: 673 236 6000
Country	Brunei Darussalam
Banking Authority with Jurisdiction	Ministry of Finance – Financial Institutions Division
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Cameroon SA *
Street Address (Not a Postal Box)	Akwa Boulevard de la Liberte, Douala Tel: 237 3343 52 00
Country	Cameroon
Banking Authority with Jurisdiction	Commission Bancaire de l'Afrique Centrale
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Trust (Cayman) Limited *
Street Address (Not a Postal Box)	24 Howard Street, P.O. Box 674 George Town, Grand Cayman KY1-1107 Cayman Islands Tel: 345 949 8806
Country	Cayman Islands
Banking Authority with Jurisdiction	Cayman Islands Monetary Authority
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Trust (Guernsey) Limited
Street Address (Not a Postal Box)	1 <sup>st</sup> Floor Bordeaux Court, Les Echelons, South Esplanade St. Peter Port, Guernsey, GY1 4PX Tel: 44 1481 721787
Country	Channel Islands
Banking Authority with Jurisdiction	Jersey Financial Services Commission
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered (Jersey) Limited *
Street Address (Not a Postal Box)	P.O. Box 80, 15 Castle Street, St Helier, Jersey JE4 8PT Tel: 44 (0) 1534-704000
Country	Channel Islands
Banking Authority with Jurisdiction	Jersey Financial Services Commission
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (China) Limited **
Street Address (Not a Postal Box)	28/F Standard Chartered Tower 201 Century Avenue, Pudong Shanghai 200120, China Tel: 86 21 3896 3000, 3851 8000 Fax: (21) 5876 9989
Country	China
Banking Authority with Jurisdiction	China Banking Regulatory Commission, Peoples Bank of China
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Cote D'Ivoire SA *
Street Address (Not a Postal Box)	Plateau, 23 Boulevard De La Republique 1141 BP 1131 Abidjan 17 Plateau Tel: 225 30 303 230
Country	Cote D'Ivoire
Banking Authority with Jurisdiction	Central Bank of West African States
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	PO Box 597 Ross Road, Stanley, Falkland Islands Tel: +500 22220, 21352
Country	Falkland Islands
Banking Authority with Jurisdiction	
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither



Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	32 Rue De Monceau, 75008 Paris, France Tel: 33 1 53 758300
Country	France
Banking Authority with Jurisdiction	Banque de France
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Gambia Limited **
Street Address (Not a Postal Box)	8 ECOWAS Avenue, Banjul, Gambia Tel: 220 222 5688
Country	Gambia
Banking Authority with Jurisdiction	The Central Bank of The Gambia
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Ghana Limited *
Street Address (Not a Postal Box)	Accra High Street Building, 3 <sup>rd</sup> Floor, Accra, Ghana Tel: 233 21 664591-8; 672210-21
Country	Ghana
Banking Authority with Jurisdiction	Central Bank of Ghana
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	Franklinstr. 46-48, 60486 Frankfurt Germany Tel: 49 69 770 750 0
Country	Germany
Banking Authority with Jurisdiction	BaFin Bundesanstalt fur Dienstleistung saufsicht and Deutsche Bundesbank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (Hong Kong) Limited *
Street Address (Not a Postal Box)	Standard Chartered Bank Building 4-4A Des Voeux Road Central, Hong Kong Tel: 852 2820 3333
Country	Hong Kong
Banking Authority with Jurisdiction	Hong Kong Monetary Authority
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	Menara Standard Chartered, Jl. Prof. Dr. Satrio 164, Jakarta, Indonesia 12930 Tel: 62 2157 999 000
Country	Indonesia
Banking Authority with Jurisdiction	Bank of Indonesia/BAPEPAM
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	90 Mahatma Gandhi Road, Mumbai, India 400 001 Tel: 91 22 226 70162
Country	India
Banking Authority with Jurisdiction	Reserve Bank of India
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	21st Floor, Sanno-Park Tower, 2-11-1 Nagatacho, Chiyoda-Ku, Tokyo, Japan 100-6155 Tel: 81 355 111200
Country	Japan
Banking Authority with Jurisdiction	Financial Services Agency
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	Shmeissani, Al Thaqafa St, Amman, Jordan 11191 Tel: 962 06 5607 201
Country	Jordan
Banking Authority with Jurisdiction	Central Bank of Jordan
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Kenya Limited *
Street Address (Not a Postal Box)	Stanbank House, Moi Avenue, P.O. Box 30003 Nairobi, Kenya Tel: 20 329 3000
Country	Kenya
Banking Authority with Jurisdiction	Central Bank of Kenya
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank SAL **
Street Address (Not a Postal Box)	Dbayeh 509 Building, Dbayeh Highway, Beirut Tel: 961 4 542 474
Country	Lebanon
Banking Authority with Jurisdiction	Banque Du Liban, Beirut
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Malaysia Berhad *
Street Address (Not a Postal Box)	16 <sup>th</sup> Floor, Menara Standard Chartered 30, Jalan Sultan Ismail, 50250 Kuala Lumpur Tel: 60 3 2117 7777
Country	Malaysia
Banking Authority with Jurisdiction	Central Bank of Malaysia (Bank Negara Malaysia)
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	Unit 807, 8/F Office Tower, Macau Landmark, Avenida de Amizade, ZAPE Tel: 853 28786111
Country	Macau, S.A.R.
Banking Authority with Jurisdiction	Autoridade Monetaria de Macau
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank ( Mauritius) Limited *
Street Address (Not a Postal Box)	Units 6A and 6B, 6 <sup>th</sup> Floor Raffles Tower Lot 19, Cybercity Tel: 230 466 5000
Country	Mauritius
Banking Authority with Jurisdiction	The Bank of Mauritius (Central Bank)
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Nepal Limited *
Street Address (Not a Postal Box)	GPO Box 3990 Naya Baneshwar, Kathmandu, Nepal Tel: 977 1 4783 753; 4782333
Country	Nepal
Banking Authority with Jurisdiction	Nepal Rastra Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Nigeria Limited **
Street Address (Not a Postal Box)	142, Ahmadu Bello Way 105B Ajose Adeogun Street, P.M.B, 80038 Victoria Island Lagos, Nigeria Tel: 234 1 270 0025/270 4600
Country	Nigeria
Banking Authority with Jurisdiction	Central Bank of Nigeria
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	P.O. Box 2353, Ruwi, P.C. 112, BaitAl Falaj Street, North Al Ghubra, Sultanate of Oman Tel: 968 24773666
Country	Oman
Banking Authority with Jurisdiction	Central Bank of Oman
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (Pakistan) Ltd. **
Street Address (Not a Postal Box)	PO Box 5556, I. I. Chundrigar Road, Karachi, Pakistan 74000 Tel: 92 21 241 2671; 245 0336
Country	Pakistan
Banking Authority with Jurisdiction	State Bank of Pakistan
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank- Manila Branch *
Street Address (Not a Postal Box)	6788 Ayala Avenue, Makati City Philippines Tel: 63 2 886 7888
Country	Philippines
Banking Authority with Jurisdiction	Bangko Sentral ng Pilipinas
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	Abdullah Bin Jassim Street, Doha Tel: 974 4248 100
Country	Qatar
Banking Authority with Jurisdiction	Qatar Central Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered First Bank Korea Limited *
Street Address (Not a Postal Box)	100 Gongpyung-dong, Jongro-gu, Seoul 110-702, Korea Tel: 82 2 1588 1599
Country	Republic of South Korea
Banking Authority with Jurisdiction	Financial Supervisory Commission (FSC), Financial Supervisory Service (FSS)

Check one box only (see instructions above.)

Physical Presence       Regulated Affiliate       Neither

Name of Subsidiary	Standard Chartered Capital (Saudi Arabia)
Street Address (Not a Postal Box)	Al Faisaliyah Tower, King Fahd Rd, Nil, Riyadh 4148 Tel: +66 1 2888540
Country	Saudi Arabia
Banking Authority with Jurisdiction	Saudi Arabia Capital Markets Authority
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

Name of Subsidiary	Standard Chartered Bank Sierra Leone Limited *
Street Address (Not a Postal Box)	9-11 Lightfoot Boston Street, Freetown Tel: 232 (0) 22 225021; 225022
Country	Sierra Leone
Banking Authority with Jurisdiction	Central Bank of Sierra Leone
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	Marina Bay Financial Centre – Tower 1 #27-00, Singapore 018981 Tel: 65 6596 8888
Country	Singapore
Banking Authority with Jurisdiction	Monetary Authority of Singapore
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	3 <sup>rd</sup> Floor, 2 Merchant Place 1 Fredman Drive, Sandton 2196 Gauteng, South Africa Tel: 27 (0) 11 217 6600 / 6601
Country	South Africa
Banking Authority with Jurisdiction	South African Reserve Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence <input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither	

Name of Branch	Standard Chartered Bank *
Street Address (Not a Postal Box)	37 York Street, P.O. Box 112, Colombo 1 Tel: 94 (011) 2480000
Country	Sri Lanka

Banking Authority with Jurisdiction	Central Bank of Sri Lanka
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (Switzerland) SA ***
Street Address (Not a Postal Box)	7, Quai du Mont-Blanc, Geneva 1207, Switzerland Tel: (41) 22 906 9910
Country	Switzerland
Banking Authority with Jurisdiction	Swiss National Bank (SNB) for Geneva
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (Taiwan) Limited **
Street Address (Not a Postal Box)	168 DunHua North Road, Taipei 105 Tel: 886 227166 261
Country	Taiwan
Banking Authority with Jurisdiction	Bureau of Monetary Affairs - Financial Supervisory Committee
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Tanzania Limited **
Street Address (Not a Postal Box)	International House, 3rd Floor, Corner Shaaban Robert St. and Garden Avenue, P.O. Box 9011, Dar es Salaam, Tanzania Tel: 255 22 2122160/1
Country	Tanzania
Banking Authority with Jurisdiction	Bank of Tanzania
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (Thai) Public Company Limited *
Street Address (Not a Postal Box)	90 North Sathorn Road, Silom Bangrak, Bangkok 10500 Tel: 66 2724 4000
Country	Thailand
Banking Authority with Jurisdiction	Bank of Thailand
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Yatirim Bankasi Turk A.S.
Street Address (Not a Postal Box)	Buyukdere Caddesi Yapi Kredi Plaza C Blok Kat:15 34330 Levent/Istanbul Tel: 90 212 339 3700
Country	Turkey
Banking Authority with Jurisdiction	BRSA Banking Regulation and Supervision Agency
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank, Dubai Main Branch **
Street Address (Not a Postal Box)	Al Mankhool Street P.O. Box 999, Dubai, U.A.E. Tel: 971 4 5085246
Country	U.A.E.
Banking Authority with Jurisdiction	Central Bank of the U.A.E.
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Uganda Limited **
Street Address (Not a Postal Box)	Standard Chartered Bank Bldg 5 Speke Road PO Box 7111 Kampala Uganda Tel: 256 41 4258211/7
Country	Uganda
Banking Authority with Jurisdiction	Uganda Securities Exchange
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	1 Basinghall Avenue, London EC2V 5DD, 5DD United Kingdom Tel: 011-44-207-885-8888
Country	United Kingdom
Banking Authority with Jurisdiction	Financial Services Authority
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither



Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	1095 Avenue of the Americas New York, New York 10036 Tel: 212 667 0700
Country	United States
Banking Authority with Jurisdiction	Federal Reserve Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank International **
Street Address (Not a Postal Box)	1111 Brickell Avenue, 16th Floor Miami, FL 33131 U.S.A. Tel: (305) 530-7750
Country	United States
Banking Authority with Jurisdiction	Federal Reserve Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Branch	Standard Chartered Bank **
Street Address (Not a Postal Box)	50 Fremont Street, Suite 2210 San Francisco, CA 94104 Tel: 415-294-8400
Country	United States
Banking Authority with Jurisdiction	Federal Reserve Bank
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank (Vietnam) Limited *
Street Address (Not a Postal Box)	Regency Hanoi Towers, Unit 8-01, 49 Hai Ba Trung Street, Hoan Kiem District, Hanoi Tel: 84 4936 8000
Country	Vietnam
Banking Authority with Jurisdiction	State Bank of Vietnam
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Zambia Plc **
Street Address (Not a Postal Box)	Standard Chartered House, Cairo Road, P.O. Box 32238, Lusaka 10101 Tel: 260 1 229242
Country	Zambia
Banking Authority with Jurisdiction	Central Bank of Zambia
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither

Name of Subsidiary	Standard Chartered Bank Zimbabwe Limited *
Street Address (Not a Postal Box)	2 <sup>nd</sup> Floor Mutual Center, Jason Moyo Avenue, P.O. Box 373, Harare, Zimbabwe Tel: 263 4 752852-8
Country	Zimbabwe
Banking Authority with Jurisdiction	Reserve Bank of Zimbabwe
Check one box only (see instructions above.)	
<input checked="" type="checkbox"/> Physical Presence	<input type="checkbox"/> Regulated Affiliate <input type="checkbox"/> Neither