

Head Office: E-Block, Connaught Place, New Delhi-110 001.

DEMAT ACCOUNT OPENING FORM (Individuals)

DP ID	□ IN 30	-	0 [301	071			-	490	-				Cli	ien	t ID									
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For DP	Use Inw	ard	No					_				Ref. No															
	its scrutinized d in order :				ent A verifi		•				NSD secure		<i>7</i> :					rent Au ked in				Verific DPM/I					
(Name of	the DP Official)	S	Sign &	& Sta	mp			(N	ame	of t	he DI	P O	fficial)	Si	gn &	& Sta	ımp			(Name	of th	e D	P Off	icial)	
Date:		- I	Date:					Da	te: _						D	ate:					1	Date: _					
Sign: Sign:																		\$	Sign: _								
KRA D	ETAILS (If alr	eady r	regist	ered	with	KRA	, then 1	ick the	belo	ow (colun	nn «	& mei	ntion	the l	Ref.	No.	of KR	A)								
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	equest you to op (Please fill all t										s pe	r th	ne fol	low	ing	Г	Date	T	D	D	М	М	Y		Y	Y	Y
	Details of Acc																	•		•	•	•	•		•		•
	Account holder(s)	Sole	e/ Fir	st H	older	•				Se	cond	dН	older						T	nird I	Holde	r					
Name																											
	PAN																			<u> </u>							
Occupation Private Sector Agriculturist Public Sector Retired							Sector			Agrio Retir	eultur ed	rist	╠		rate Sec		H	=	Agricu Retireo	lturist I							
give brief Government Housewife					Gov		ment				sewife				vernme	nt			House								
aeiaus)				Bus	sines	SS			Stude	ent			Bus	iness] s	Studen	t								
		Professional Others (Please specify;					Prof	fessi	ional			Other speci		(Please		Pro	fession	al			Others pecify	(Ple	ase				
	Brief details:	ef details:													1				·								



B)	For HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name & PAN of the HUF, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc.,																			
		ald be mentioned below:									,,		•		ĺ	J			,	
	a) .	Name							b) PAI	N										
C) T	ype o	f Account (Please tick whic	hever	is ap	plicab	ole)														
		Ordinary Resident			NR	I-Repa	ıtriabl	e							NRI	-Nor	1			
		Qualified Foreign Invest	tor		For	eign N	ationa	al							Rep	atrial	ole			
		Margin			Oth	ers (Pl	lease s	specify)				_ [Pror	notei	î			
D)	(Gross Annual Income Detail	s																	
	I	ncome Range per annum (ple	ase tic	k any	one)															
		Below ₹ 1 lac			₹ 1	- 5 lac									₹ 5-	10 1	ac			
		₹ 10- 25 lac			Mo	re thar	ı ₹ 25	lac												
E)	In c	ase of NRIs/ Foreign Nation	als																	
	RBI Approval Reference Number																			
	RBI Approval date																			
F)	Ban	k details																		
	1	Bank account type	Saving	gs Acc	count		Curre	nt Acc	ount		Oth	ers (Pl	ease	spec	cify)					
	2	Bank Account Number																		
	3	Bank Name										1								
	4	Branch Address																		
			City	y/towr	n/villag	ge				PI	N Co	de								
			Sta	te						С	ountr	y				ı				
	5	MICR Code				·														
	6	IFSC																		
G)	Plea	se tick, if applicable:	olitica	ılly Ex	kposed	Perso	n (PE	P)	Re	elate	d to a	Polit	ically	Ex	pose	d Per	son (PEP)	



(Govt. of India Undertaking)

H)	Star	ding Instructions															
	1	I/We authorise you to receive	e credits automatica	lly into	o my/o	ur acco	ount.				_	Yes No					
	2	Account to be operated throu	igh Power of Attorn	iey (Po	A)						-	Yes No					
	3	SMS Alert facility: [Mandate the KYC Application Form]	ory if you are giving	, Powe	r of Att	orney	(PoA).	Ensure	e that th	he moi	bile	numl	ber is	s prov	ridea	l in	
		Sr. No.	Holder								Yes			N	0		
		1	Sole/First H	older													
		2	Second Hole	der													
		3	Third Holde	r													
	4	Mode of receiving	Physical Fo	rm [Cı	urrently	providii	ıg only p	ohysical .	statemen	ıts]							
		Statement of Account [Tick any one]	Electronic I	Form [Read No	te 4 and	l ensure	that ema	ail ID is p	provide	d in .	KYC A	Applic	ation .	Form].	
	5	I/we authorise you to confirm	n the "Auto Pledge	Confi	rmatio	n".						Yes	: [N	lo		
	6	I/we authorize you to share n /Registrar and Transfer Agen	ny/our email addre	ss with	the co	ompan	ies					Yes	: [N	lo		
I)	[For	dian Details (where sole holder is a minor): ccount of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (a by guardian)]											to be	е			
	Gua	rdian Name															
	PAN	N															
	Rela min	ationship of guardian with or															
J)	Non	nination Option															
		I/We wish to make a nomin [As per details given below			I/We do not wish to make a nomination. [Strike off the nomination details below]												
	Noi	mination Details		•	-	(NOM	INATI	ON RE	G. NO.								
			-							-				_			
	1	Name of the Nominee (Mr./Ms.)															
	2	Relationship with the Applicant (if any)															
	3	Address of Nominee															
			City/town/ village				PIN (
			State				Coun	ıtry									
	4	Contact Details of nominee	Tel. (Off.)					(Res.)									
			Fax No.				Mob	ile No.									
			Email ID	1													



(Govt. of India Undertaking)

			Photograph					Sign	ature										
(a)		(i)		Photograph of nominee (Signature of nominee action photograph)		((ii)	XSign	aature oʻ	f nor	ninee								
(b)		PAN	of nominee		<u> </u>											_			
(c)		Aadh	aar number ofn	ominee															
(d)			gs bank accoun Participant	t number of nominee, if	maintained wit	th the	e BankAccount Number												
(e)		Copy verific	of any proof of cation or duly at	identity document (accontested by any entity authored in Annexure JB)												_			
(f)		_					DI	PID											
(1)		Dema	at account deta	ils of nominee			Cl	ient ID								_			
Sr.	Nos. 6-	11 sho	uld be filled o	only if nominee is a mi	nor:											=			
6	Date of	of Birth	n (in case of m	inor nominee)			D	D	М		М	Y		Y	Y	_			
7			ardian n case of mino	r		· ·		•				I.	-		I.				
8			Guardian	City/town/			DD I	- C 1								_			
				village				Code								_			
9	Conta	ct Deta	nils of Guardia	State Tel. (Off.)			Cou	(Res.)		Т						_			
				Fax No.				oile No.		+						_			
				Email ID												_			
10		onship omine	of Guardian e													_			
11. (Guardia	n Iden	tification deta	ils (please tick any one	from (a) to (f) and j	provi	de deta	ils of	the	sam	e)				_			
			Photograph					Signatu	re										
(a)		(i)			Photograph of guardian (Signature of guardian across photograph)				ure of g	uard	ian								
	1		1							-					-	_			
(b)		PA	N of guardian																



(Govt. of India Undertaking)

(d)		Savings bank account num Participant	ber of guardian, if maintained with the same				Bank	Acco	unt Ni	ımber	0	
(e)			ity document (accompanied by original for d by any entity authorized for attesting the Annexure JB)									
(f)		Demat account details of g	uardian	DP	PID							
()				Cli	ient ID							
Sign	ature of V	Vitness for nomination a	nd Account Opening Form									
1)		Name of witness	Address		5	Signat	ure o	f wit	ness v	vith d	late	
				_	X							
(For	CDSL Cl	ient/s)										
2)		Name of witness	Address		5	Signat	ure o	f wit	ness v	vith o	late	
				_	X							

Declaration

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/weare aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

Name(s) of holder(s)	Signature(s) of holder
Sole/ First Holder/ Guardian (in case sole holder is minor) (Mr./Ms.)	5
Second Holder	•
Third Holder	<u>8</u>

Notes:

- 1. All communication shall be sent at the address of the Sole/First holder only.
- 2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- 3. Instructions related to nomination, are as below:
 - I. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly all joint holders will sign the nomination form.
 - II. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
 - III. The Nominee shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange controls in force, from time to time.
 - IV. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.
 - V. Transfer of securities in favour of a Nominee shall be valid discharge by the depository and the Participant against the legal heir.
 - VI. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals including society, trust, body corporate, partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.
 - VII. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee.
- 4. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- 5. Strike off whichever is not applicable.



Towards Linki	ng of Savings Bank A	A/c. with Demat A/c. through in	nternet provide fo	ollowing informations.	
[Read note 4 and	d ensure that email ID	is provided in KYC application]		
SB/CA A/c No			i-bank U	User ID	
Email			Mobile	No	
Signature of Branch Incumbent for Orie	nted Bank of Comr	nerce			
Name of Employee					
Signature of Employee					
Place :					
INTRODUCTION (** Mandatory in case of	Illeterate & visually cha	llenged person)	(By an ex	xisting OBC Demat Account Holder / Ap	pplicant's Bank)
DP ID : Client			tisting account ho		<u> </u>
				and address of the applicant (s).	
(Name of Introducer / Applicar	nt's Bank Official)	nereby con	nrm the identity a	and address of the applicant (s).	
**					
Signature of Introducer / Applicant's Bank	Official 🗴		Applicant's Banl	k Seal	
AUTHORISATION FOR DEBITING	OF CHARGES				
I/We hereby authorise you to debit my/our Sa	avings / Current Bank	Account No.		with Oriental Bank	of Commerce
for all the charges relatling to this Depositor	y Account				
Please treat this authorisation as irrevocable	till further instruction	n from my / our side is received	in writing and du	ıly acknowledged by BANK	
(Signature)	(Sign	nature)	(Signa	ature)	
(Signature of SB/CA Account Holder/s)	(Sign	<u> </u>	(Signa		
SPECIMEN PHOTOGRAPH & SIG	NATURE				
• Do not sign across Photograph					
• Do not Staple the Photograph					
• Signature in Black Ink only					
	1		7		1
First Holder		Second Holder		Third Holder	
]		_]
					<u></u>
(Signature of first Holder)	a	(Signature of Second Holde	er) 🖙	(Signature of Third Holde	r) 🚰

TARIFF SHEET (Depository Services w.e.f. 01.10.2013)

	Service		Individual						
1	ACCOUNT OPENING		NIL						
2	ANNUAL MAINTENANCE								
	FEES -AMC								
	Clients having SB/CA a/c. with OBC	NSDL	₹ 300/-						
		CDSL	₹ 300/-						
	Clients not having a/c. with OBC	NSDL	₹ 750/-						
		CDSL	₹ 750/-						
	Speed-E Password based		₹ 500/-						
	Speed-E Token based		₹ 1300/-						
	Speed-E one time		₹ 5000/-						
3	DEMATERIALISATION		₹35/- plus ₹2/- per certificate						
4	REMATERIALISATION		₹ 25/- #						
5	TRANSFER FEES		0.02% of value Minimum of						
			₹ 23/- and Maximum ₹ 5000/-						
6	ONLINE TRADING								
	Speed-E Annual		₹ 15/- per transaction						
	Speed-E One-time users		₹ 12/- per transaction						
7	PLEDGE (Charges for Pledgor only)								
	Pledge Creation		₹ 50/-						
	Pledge Closure		₹ 25/-						
	Pledge Invocation		₹ 25/-						
8	INTER SETTLEMENT (Per Debit Trans	saction)	N.A.						
9	PAY IN		N.A.						
10	PAY OUT/Penalty Charges		NIL						
11	CM POOL TO CM POOL								
	Per Debit Transaction		N.A.						
12	LATE FEES		₹ 50/-						
13	ACCOUNT STATEMENT								
	Monthly		NIL						
	Ad-hoc (per statement)		₹ 50/-						
14	CUSTODIAL FEES		NIL						
15	FAILED TRANSACTION per transactio	₹ 10/-							
16	LENDING/BORROWING per transaction	₹ 50/- for borrower							
	•	₹ 25 for lender							
17	ACCOUNT CLOSURE	NIL							

AMC will be levied on pro-rata for the first year of opening the account. Annual AMC shall be levied up-front during the month of
April.
As per CDSL, An Additional $\stackrel{?}{\sim}$ 500 /- per month for CM Pool accounts $\stackrel{?}{\sim}$ 250/- per month for CM Principal towards Settlement will be charged.
Advance fees of ₹ 5000/- to be deposited upfront which will be adjusted against the future dues. In case the balance falls below
₹ 2000/- it shall be the responsibility of Client to replenish the upfront amount so as to continue the service.
NSDL/ CDSLCharges for Receipt-In/Pay-out and penalty charges levied by SEBI for securities lying in pool A/c beyond
stipulated period or any other charges will be charged on actual basis.
All the reference prices will be based on NSE price (NSDL formula) BSE Price (CDSL formula) and all charges payable monthly.
#Remateralisation Fee₹25 per certificate or₹25 for every hundred securities or part thereof, whichever is higher.
Charges for listed demat services shall be raised at monthly intervals. Demat services not listed above will be charged separately as
per Bank's policy in the line with NSDL & CDSL Depositories.
Oriental Bank of Commerce reserves right to revise the rate structure from time to time by giving 30 days' notice.
Service Tax as applicable would be levied.
KRA Charges ₹ 100/- plus Service Tax per PAN for existing as well as new customer will be applicable.
Sub-brokers/CM-Beneficiary clients will be charged at the flat rate of ₹300/- per month to the account.

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

- The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/ Notifications/ Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
- 2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

- 3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
- 4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

- 5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
- 6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
- 7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

- 9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
- 10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.

12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

- 13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
- 14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
- 15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
- 16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

- 17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
- 18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

- 19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
- 20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

- 21. As per Section 16 of Depositories Act, 1996,
 - 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 - 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

- 22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
- 23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

- 26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBL.
- 27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from time to time.
- 28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
- 29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
- 30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
- 31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Byelaws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

	=====					=======
		ACKNO	WLEDGEMEN	Т		
DP Name: Oriental Bank of Commerce	DP ID	(NSDL) IN 300020	(NSDL) IN 301071	(CDSL) 14900	Client / BO ID	
Accepted / Rejected the application from M/s.						
as the Sole/First Holder alongwith					and	
4 C 1 1 1 1 1 1-			. W. DDI10	S11 T. 1		

as the Second and Third Holders respectively for opening of a depository account. Your DP Id & Client Id will be intimated to you shortly on acceptance. Please quote the DP Id & Client Id allotted to you in all your future correspondence.

Date :	Stamp, Signature of BM