# <u>Nehru Gram Bharati Vishwavidyalaya, Allahabad</u> (Ph.D. REGULATIONS)

# 1.0 <u>ELIGIBILITY</u>

- a. Candidates (Indian/Foreigner) who have studied under 10+2+3+2 years pattern or 10+2+5 years or 11+1+3+2 or 12+2+3+3 or 10+2+4+2 pattern of education and qualified for the award of the Master's Degree in relevant discipline in Nehru Gram Bharati Vishwavidyalaya (NGBV)–(Deemed to be University) or any other university recognized by the University Grants Commission in relevant discipline with not less than 55% marks (50% for SC/ST or an equivalent grade and second class at graduate level are eligible.
- b. In addition, he/ she should have qualified the entrance test conducted by NGBV.

OR

Qualified the UGC -JRF / GATE / CSIR / ICAR / ICSSR or other fellowships or passed equivalent examinations.

c. Foreign Candidate and NRI will be exempted from CRET.

# 2.0 **PROCEDURE FOR APPLYING**

2.1 Candidates seeking admission to Ph.D. programme should get the application form (Annexure-1) from the university counters referring to the notification with regard to Ph.D. admission by remitting the cost of the application. The application should be submitted on or before the prescribed date mentioned in notification. If the application is downloaded from the website, the candidate should remit the cost of application in the form of DD in favour of Nehru Gram Bharati Vishwavidyalaya Payable to (Vijaya Bank, Hanumanganj Branch) Allahabad.

Incomplete applications will be summarily rejected. (Refer to the checklist attached with the application).

# 2.2 Admission for Foreign Students

Foreign nationals including NRIs must produce a valid research visa at the time of seeking admission to the Ph.D. programme. If admitted, they will be governed by such rules and regulations as may be framed by the Government of India and by the authorities of NGBV from time to time.

# 3.0 ENTRANCE TEST, ADMISSION AND ALLOCATION

- 3.1 A Combined Research Entrance Test (CRET) will be conducted by the university for the eligible candidates, A minimum of 40% in each paper is a must to become eligible for provisional admission. However 5% relaxation is provided to SC/ST/PWD and Non Creamy Layer of OBC candidate.
- 3.2 There will be a counseling of the candidates who passed the entrance test and the JRF holders in which the candidates shall discuss their research interest /

area. The number of candidates to be admitted will depend on the vacancies available. The candidates are required to pay the counseling and course work fee prescribed by the university from time to time.

3.3 Admission will be made following the reservation policy of the Government of India.

## 4.0 <u>COURSE WORK</u>

It is mandatory that all Research Scholars after their provisional admission, have to Complete the course work having one semester duration of 6 month duration. The course work will have following four papers of 100 marks each.

- I. Computer Application.
- II. Research Methodology.
- III. Gram Pravas Project & Viva.
- IV. Seminars.

Course work shall be completed by the candidates by attending the regular classes at the Research Centre. The syllabus for Ph.D. course work is attached in the annexure-2.

## 5.0 <u>EXAMINATION</u>

- 5.1 All the Research Scholars admitted provisionally will attend the regular course work at the Research centre of university. The university will hold the examination and results will be declared. The passing minimum marks will be 36 percent in the aggregate of I<sup>st</sup> & II<sup>nd</sup> paper and 40% marks in III<sup>rd</sup> & IV<sup>th</sup> paper each.
- 5.2 Candidates who will fail in any of the four papers will be allowed to appear in forthcoming course work examination.
- 5.3 75% attendance will be compulsory for appearing in the course work examination. A 10% relaxation may be granted by the VC under special circumstances.

#### 6.0 <u>ATTENDANCE</u>

All candidates shall regularly sign the attendance register maintained at the Research Centre of the University/Departments for 24 months and JRF will sign the attendance register during the tenure of the fellowship.

#### 7.0 <u>DEPARTMENTAL RESEARCH COMMITTEE</u>

Each department will have a departmental Research Committee. Consisting of Dean of the faculty HOD and the other Research supervisors as members. The HOD shall facilitate progress research presentations by the research scholars before DRC, at least twice a year. The research presentations will be organized at the research centre of the university.

#### 8.0 <u>PROGRESS</u>

- 8.1 All the research scholars shall submit six monthly Progress Reports to the DRC on in January, April, July and October of each year or as per calendar of the Research centre. This must be duly countersigned by the Research Supervisor and the HOD. They will continue to do this until they submit their PSP.
- 8.2 All JRF / SRF Research Scholars of any funding agency may be assigned teaching work for a maximum of 4 hours per week in the Department / Faculty and for which be they will not entitled for any honorarium.

# 9.0 <u>DURATION OF THE PROGRAMME:</u>

Admitted Scholars in Ph.D. program are expected to complete the one semester course work before confirmation of Ph.D. Registrations after which they are eligible to submit their thesis two years from the date of declaration of the course work result/Registration. The maximum period of submission will five years.

## 10.0 <u>EXTENSION</u>

- 10.1 Two spells of one year extension will be granted after the expiry of the maximum period of 5 years above on the specific request with justification from the candidate and the recommendation of the Research Supervisor affer making the payment of the prescribed annual fee and extension fee.
- 10.2 The regular annual research fee will be paid in the extension period also. The candidate must have cleared all dues to the university if his / her application for extension is to be processed.

## 11.0 <u>CANCELLATION OF REGISTRATION</u>

If a research scholar fails to fulfill the eleven points requirements essential for Ph.D. program and / or fails to submit his / her thesis even after the maximum period mentioned above his / her registration will be stands cancelled automatically. The eleven points requirement attached as Annexure-3.

## 12.0 <u>RE-REGISTRATION</u>

If a candidate has made significant progress in his / her work, but has not completed his / her thesis even after the expiry of the maximum it 7 years period he / she will be considered for re-registration at any time with the same topic on the recommendation of the Research Supervisor. He / she will have to pay all the prescribed fees. He / she will be permitted to submit his / her thesis between 12 and 24 months after his/her re-registration and not before or after the time limit. These re-registered candidates are not eligible for any time extension. Even after re-registration, if the candidate is not able to submit thesis within the prescribed time limit, his/her re-registration will be stands cancelled automatically. He / she will not be admitted for Ph.D. in the vishwavidyalaya anymore.

# 13.0 <u>LEAVE</u>

13.1 A full-time research scholar may avail leave as per the UGC Norms.

# 14.0 <u>RESEARCH SUPERVISOR</u>

- 14.1 A Professor / Associate Professor / Assistant Professor of NGBV will be recognized as a Research Supervisor if he / she fulfills the following conditions:
  - a) All regular teachers having Ph.D degree and currently teachings post graduate classes in all discipline except Engineering be recognized as Research Supervisor.
  - b) In every session only two research scholars will be allotted to a supervisor. The maximum limit as 8 students.

The application for recognition should be submitted along with copies of supporting documents to the Registrar through the HOD concerned along with the report of RDC Based on the Research Degree committee opinion, the recognition will be given.

- 14.2 In exceptional cases, it can be permitted by the V.C.
- 14.3 If a Research Supervisor retires or takes up an assignment outside NGBV on deputation/lien, the candidate will be permitted to continue his / her research under the same Research Supervisor OR he / she may be permitted to change his / her Research Supervisor if he/she desires so. But the date of registration, the area of research and the title of the study shall remain unchanged.
- 14.4 In case the Research Supervisor leaves NGBV permanently, he/she cannot continue to guide any new scholars in NGBV.

# 15.0 <u>FEE</u>

- 15.1 This will be decided and notified by the authorized committee form time to time.
- 15.2 Those who have not paid the research fee within the prescribed date, shall have to remit the fee along with the prescribed fine notified from time to time.
- 15.3 If a candidate has not paid the fee continuously for two years, his/her registration will stands cancelled.

## *16.0 <u>TITLE</u>*

At the admission stage, a research scholar will give a tentative title. But the title mentioned in the synopsis will be final and cannot be altered. The title of the thesis should be compact and adequate enough reflecting the content of the research.

## 17.0 <u>PRE SUBMISSION PRESENTATON</u>

- 17.1 Research Scholars of the University should present their PSP before the DRC members. On power point presentation.
- 17.2 The research scholar must a softcopy of the Pre-presentation.
- 17.3 The Ph.D thesis must submit be submitted within three months from the date of the submission of the PSP.

## 18.0 <u>THESIS</u>

- 18.1 Candidates should have published at least one research papers in refereed journals / with ISSN and produce the evidence in the form of reprints or acceptance letters to the DRC before the submission of the thesis.
- 18.2 The thesis should be prepared in accordance with format. Annexure-4
- 18.3 Five copies of the thesis along with a softcopy shall be submitted. The research supervisor shall forward the copies of the thesis to the co-ordinater of Research Centre through the Dean/HOD concerned.
- 18.4 The title and the certificate for Ph.D theses in Hindi and English shall be given in the respective languages.
- 18.5 Along with the thesis, an abstract of the thesis not exceeding 200 words must also be submitted.

## 19.0 PANEL OF EXAMINERS AND EVALUATION OF THESIS

- 19.1 The Research supervisor shall provide a panel of 10 examiners, 5 from India (U.P. and 5 from other states/country who will be the specialist in the subject in which the candidate has submitted the thesis.
- 19.2 The thesis will be evaluated by three examiners selected form the above panel. One shall be supervisor and of the remaining two, one must be outside Utter Pradesh.
- 19.3 The examiners will adjudicate the thesis and sent their evaluation reports to the Coordinator, Research Centre by selecting any one of the following options I to V:
  - I. The Thesis can be accepted.
  - II. Rejected.
  - III. Revised on the following evens.
- 19.4 The thesis will be accepted for the award of Ph.D degree if all the three examiners accept it. If one of the examiners rejects the thesis, it will be sent to the fourth Examiner of the same category and the decision of the fourth Examiner will be final.
- 19.5 If a revision of the thesis is sought, the candidate shall revise and resubmit it within a period of 3 months from the date of receipt of the communication university.
- 19.6 If the revised thesis is rejected, it will be rejected once for all.

#### 20.0 <u>VIVA-VOCE</u>

- 20.1 An open Viva-Voce will be conducted by board of Examiner consisting of Research Supervisor and one examiner.
- 20.2 All the members of faculty and the research scholars of the Department are supposed to be present in viva-voca.
- 20.3 In case, a candidate could not defend his / her thesis successfully in the Viva-Voce Board in the first appearance, the board may recommend for reappearance within 30 days.
- 20.4 A candidate who in not successful in the second viva-voce also, his / her thesis will be normally rejected. Viva-Voca will be held in the university campus.

## 21.0 <u>AWARD OF DEGREE</u>

- 21.1 The recommendations of the Viva-Voce Board will be placed before the Board of Management (BoM) for approval. However, RDC shall declare the result with the approval of the Vice-Chancellor and after that provisional certificate. will be issued.
- 21.2 A softcopy of the thesis incorporating all the corrections / suggestions recommended at the time of viva-voce, if any, must be submitted to the coordinator research centre within 15 days after the viva-voce.

#### 21.3 Research Degree Committee

There will be a research degree committee. All deans' directors, HOD/Coordinators of subject's course, will be the members of RDC. This Committee will approve the viva report and consequently will award the degree.

## 22.0 <u>PUBLICATION OF THESIS / FINDINGS</u>

The thesis, once submitted, becomes the property NGBV. In case the research comes under the "Classified" category, it shall not be published without the approval of the Board of Management. The Board of Management may grant permission for publishing the results / findings on getting appropriate recommendation from the Vice-Chancellor. Two copies of the thesis in its published form must be submitted to the office of the Registrar. In the case of unclassified research the student may publish it and at least one copy will be submitted to Registrar.

## 23.0 SOLVING THE PROBLEMS

In the event of any unforeseen problems / issues, or interpretation of any class the decision of the Vice-Chancellor will be final.

#### Note:

Notwithstanding anything contained in the above pages as rules and regulations governing the Doctoral programmes at NGBV, the Board of Management is vested with the powers to revise them from time to time on the recommendations of the RDC through Academic Council.